

06-25-01

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jc962 U.S. PTO  
06/22/01Please type a plus sign (+) inside this box ☐PTO/SB/05 (03-01)  
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|   |   |  |
|---|---|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | Attorney Docket No. BAL-17-CON2 (BA-00136.2)    |  |
|   | First Inventor ALLAN F. WILLIS ET AL.           |  |
|   | Title CATHETER WITH DISTALLY DISTENDING BALLOON |  |
|   | Express Mail Label No. EL833944693US            |  |

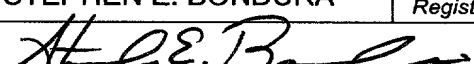
|  |  |
|--|--|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.  | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original and a duplicate for fee processing)</i><br>2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.<br>3. <input checked="" type="checkbox"/> Specification [Total Pages 15]<br><i>(preferred arrangement set forth below)</i><br>- Descriptive title of the invention<br>- Cross Reference to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to sequence listing, a table, or a computer program listing appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings (if filed)<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure<br>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 3]<br>5. Oath or Declaration [Total Pages ]<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br><i>(for continuation/divisional with Box 18 completed)</i><br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).<br>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)<br>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies |
| <b>ACCOMPANYING APPLICATION PARTS</b>  |  |
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney<br>11. <input type="checkbox"/> English Translation Document (if applicable)<br>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations<br>13. <input checked="" type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)<br>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)<br>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.<br>17. <input checked="" type="checkbox"/> Other: EXPRESS MAIL CERTIFICATE OF MAILING   |  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09,372,133  
 Prior application information. Examiner S. KENNEDY Group Art Unit: 3763

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

|  |                          |  |              |          |              |
|--|--------------------------|--|--------------|----------|--------------|
| <b>19. CORRESPONDENCE ADDRESS</b>                          |                          |  |              |          |              |
| <input type="checkbox"/> Customer Number or Bar Code Label |                          | <input checked="" type="checkbox"/> Correspondence address below |              |          |              |
| Name   | STEPHEN E. BONDURA, ESQ. |  |              |          |              |
| Address  | DORITY & MANNING, P.A.   |  |              |          |              |
|  | P.O. BOX 1449            |  |              |          |              |
| City   | GREENVILLE               | State  | SC           | Zip Code | 29602-1449   |
| Country  | USA                      | Telephone  | 864-271-1592 | Fax      | 864-233-7342 |

|                   |   |                                   |                 |
|-------------------|---|-----------------------------------|-----------------|
| Name (Print/Type) | STEPHEN E. BONDURA  | Registration No. (Attorney/Agent) | 35,070          |
| Signature         |  |                                   | Date 06/22/2001 |

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 j1046 U.S. PTO  
 09/887279  
 06/22/01

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** 710.00**Complete if Known**

|                      |                          |
|----------------------|--------------------------|
| Application Number   | NOT YET ASSIGNED         |
| Filing Date          | June 22, 2001            |
| First Named Inventor | ALLAN F. WILLIS ET AL.   |
| Examiner Name        | UNKNOWN                  |
| Group Art Unit       | UNKNOWN                  |
| Attorney Docket No.  | BAL-17-CON2 (BA-00136.2) |

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 04-1403

Deposit Account Name: Dority & Manning, Attorneys at Law, P.A.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 710      | 201          | 355      | Utility filing fee     | \$710.00 |
| 106          | 320      | 206          | 160      | Design filing fee      |          |
| 107          | 490      | 207          | 245      | Plant filing fee       |          |
| 108          | 710      | 208          | 355      | Reissue filing fee     |          |
| 114          | 150      | 214          | 75       | Provisional filing fee |          |

**SUBTOTAL (1) (\$)** 710.00**2. EXTRA CLAIM FEES**

| Total Claims       |   | Extra Claims |  | Fee from below |  | Fee Paid |        |
|--------------------|---|--------------|--|----------------|--|----------|--------|
| Independent        | 5 | -20** =      |  | X              |  | =        | \$0.00 |
| Claims             | 2 | -3** =       |  | X              |  | =        | \$0.00 |
| Multiple Dependent |   |              |  |                |  | =        | \$0.00 |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                     |
| 102          | 80       | 202          | 40       | Independent claims in excess of 3                          |
| 104          | 270      | 204          | 135      | Multiple dependent claim, if not paid                      |
| 109          | 80       | 209          | 40       | ** Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$)** 0.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105          | 130      | 205          | 65       | Surcharge - late filing fee or oath  |          |
| 127          | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139          | 130      | 139          | 130      | Non-English specification  |          |
| 147          | 2,520    | 147          | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112          | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115          | 110      | 215          | 55       | Extension for reply within first month                                     |          |
| 116          | 390      | 216          | 195      | Extension for reply within second month                                    |          |
| 117          | 890      | 217          | 445      | Extension for reply within third month                                     |          |
| 118          | 1,390    | 218          | 695      | Extension for reply within fourth month                                    |          |
| 128          | 1,890    | 228          | 945      | Extension for reply within fifth month                                     |          |
| 119          | 310      | 219          | 155      | Notice of Appeal   |          |
| 120          | 310      | 220          | 155      | Filing a brief in support of an appeal                                     |          |
| 121          | 270      | 221          | 135      | Request for oral hearing   |          |
| 138          | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140          | 110      | 240          | 55       | Petition to revive - unavoidable   |          |
| 141          | 1,240    | 241          | 620      | Petition to revive - unintentional   |          |
| 142          | 1,240    | 242          | 620      | Utility issue fee (or reissue)   |          |
| 143          | 440      | 243          | 220      | Design issue fee   |          |
| 144          | 600      | 244          | 300      | Plant issue fee  |          |
| 122          | 130      | 122          | 130      | Petitions to the Commissioner  |          |
| 123          | 50       | 123          | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 126          | 180      | 126          | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581          | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146          | 710      | 246          | 355      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149          | 710      | 249          | 355      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179          | 710      | 279          | 355      | Request for Continued Examination (RCE)                                    |          |
| 169          | 900      | 169          | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 0.00**SUBMITTED BY**Name (Print/Type) **STEPHEN E. BONDURA**Registration No. (Attorney/Agent) **35,070****Complete (if applicable)**Telephone **864-271-1592**

Signature

*Stephen E. Bondura*Date **06/22/2001****WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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ATTORNEY DOCKET NO.: BAL-17-CON2 (BA-00136.2)

**EXPRESS MAIL CERTIFICATE OF MAILING**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                              |   |                   |
|------------------------------|---|-------------------|
| In re Application of         | ) | Examiner: UNKNOWN |
| ALLAN F. WILLIS ET AL.       | ) |                   |
| Serial No.: NOT YET ASSIGNED | ) | Art Unit: UNKNOWN |
|                              | ) |                   |
| Filed: HERewith              | ) |                   |
|                              | ) |                   |
| For: CATHETER WITH DISTALLY  | ) |                   |
| DISTENDING BALLOON           | ) |                   |

"Express Mail" - Mailing Label Number EL833944693US

Date of Deposit JUNE 22, 2001

I hereby certify that this paper and all attachments and any fee are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington D.C. 20231.

Jacqueline M. Leonard  
(Typed or printed name of person mailing paper or fee)

Jacqueline M. Leonard  
(Signature of person mailing paper or fee)

FILED IN 62290